



ANNUAL MEETING OF MEMBERS
31 July 2024 / 8:00-8:41 am, US Eastern Daylight Time
(Virtual Meeting held by Zoom Webinar)

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VOTE SUMMARY AND FINAL RETURNS
(Officer and Governor Elections)

- Tricia Rothschild, CFA, and Pieter van Putten, CFA, were appointed Inspectors of Election for the purpose of conducting the vote at the Annual Meeting of Members of CFA Institute on 31 July 2024.
- As of 23 May 2024, the Record Date for the Annual Meeting of Members there were 204,743 Regular Members of CFA Institute eligible to vote.
- On 3 June 2024, the notice of meeting and proxy statement mailings were made complete.
- Quorum requirement for conducting all business was 10 percent of CFA Institute Regular Members present in person or by proxy, or 20,474.
- As of 11:59 a.m. US Eastern Daylight Time, 30 July 2024, the deadline to receive proxy ballots, 24,550 had been submitted to the election tabulator (Election Services Corporation) constituting 11.99% of the Regular Members eligible to vote.
- At the Annual Meeting of Members, there were 84 unique participants that submitted 9 meeting ballots (recast and new ballots) to the election tabulator (Election Services Corporation) for the purpose:
 - To elect a Chair and Vice Chair of the CFA Institute Board of Governors for fiscal year 2025.
 - To elect three governors, each to serve a term beginning 1 September 2024 and ending 31 August 2027.
 - To ratify the selection of an independent registered public accounting firm for fiscal year 2024.
- The final vote tally was 24,552 constituting 11.99% of the Regular Members eligible to vote with respect to each proposal as follows:
 - Proposal 1 – CFA Institute Officers / Election of nominees as Chair and Vice Chair to the Board of Governors

Marshall Bailey, CFA (Chair)

- For = 21,741 / 88.55%
- Against = 815 / 3.32%
- Abstain = 1,996 / 8.13%

Heinz Hockmann, PhD (Vice Chair)

- For = 20,977 / 85.44%
- Against = 1,365 / 5.56%
- Abstain = 2,210 / 9.00%

Chair and Vice Chair nominees are elected.

○ Proposal 2 – CFA Institute Governors / Election of nominees as Governors to the Board of Governors

Joanne Hill, PhD

- For = 20,786 / 84.66%
- Against = 1,387 / 5.65%
- Abstain = 2,379 / 9.69%

Heinz Hockmann, PhD

- For = 20,510 / 83.54%
- Against = 1,484 / 6.04%
- Abstain = 2,558 / 9.69%

Jennifer Garbowicz, CFA, CIPM

- For = 21,687 / 88.33%
- Against = 821 / 3.34%
- Abstain = 2,044 / 8.33%

Ravi Gautham, CFA

- For = 21,456 / 87.39%
- Against = 934 / 3.80%
- Abstain = 2,162 / 8.81%

Lindsey Matthews, CFA, CIPM

- For = 21,704 / 88.40%
- Against = 764 / 3.11%
- Abstain = 2,084 / 8.49%

Vipin Mayar, MBA

- For = 20,146 / 82.05%
- Against = 1,701 / 6.93%
- Abstain = 2,705 / 11.02%

Governor nominees are elected.

○ Proposal 3 – Ratification of the selection of the independent registered public accounting firm (KPMG)

- For = 21,924 / 89.30%
- Against = 1,071 / 4.36%
- Abstain = 1,557 / 6.34%

Ratification of KPMG for fiscal year 2024 is approved.